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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Patent Examining Operations

Applicant(s): Stephen Horrigan
Serial No: 09/964,824 Art Unit: Unassigned
Filed: 27 September 2001 Examiner: Unassigned
Title: CANCER GENE DETERMINATION AND THERAPEUTIC
SCREENING USING SIGNATURE GENE SETS
Docket No: 669290-73

#18

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

REPLY TO NOTICE OF INCOMPLETE RESPONSE

Sir:

In reply to the Notice of Incomplete Response, dated 11 March 2002, Applicant hereby states as follows:

Applicant had received the Notice to File Missing Parts, dated 9 November 2001, and responded by submitting the requested Sequence Listing and the executed Declaration by Express Mail on January 28, 2002, along with a Request for a 1 month extension to respond and the required fee for a small entity.

The Sequence Listing and the Declaration were submitted as separate papers, each with its own return postcard and via separate Express Mail packages.

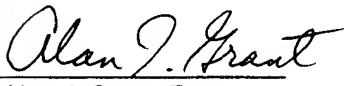
Applicant regrets any inconvenience this may have caused.

04/12/2002 Y61Z04 00000005 030678 09964824
01 FC:215 55.00 CH

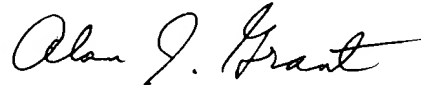
Applicant hereby submits the following:

1. Photocopy of executed Declaration of Horrigan (1 sheet);
2. Copy of Notice form;
3. Photocopies of the return postcards indicating receipt of both the Sequence Listing and the signed Declaration; and
4. Return Postcard.

The Commissioner is authorized to charge payment of any additional filing fees required under 37 CFR 1.16 associated with this communication or credit any overpayment to Deposit Account No. 03-0678.

EXPRESS MAIL CERTIFICATE	
Express Mail Label No. EL146098088US	
Deposit Date: 20 March 2002	
I hereby certify that this paper and the attachments hereto are being deposited today with the U.S. Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above addressed to:	
BOX MISSING PARTS Commissioner for Patents Washington, DC 20231	
 Alan J. Grant, Esq.	<u>3/20/02</u> Date

Respectfully submitted,



Alan J. Grant, Esq.
Reg. No. 33,389
CARELLA, BYRNE, BAIN, GILFILLAN,
CECCHI, STEWART & OLSTEIN
6 Becker Farm Road
Roseland, NJ 07068
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/964,824	09/27/2001	Steve Horrigan	669290-73

CONFIRMATION NO. 2602

FORMALITIES LETTER



CARELLA, BYRNE, BAIN, GILFILLAN,
CECCHI, STEWART & OLSTEIN
6 Becker Farm Road
Roseland, NJ 07068

#7

Date Mailed: 03/11/2002

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 01/28/2002 to the Notice to File Missing Parts (Notice) mailed 11/09/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- o The oath or declaration is unsigned.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

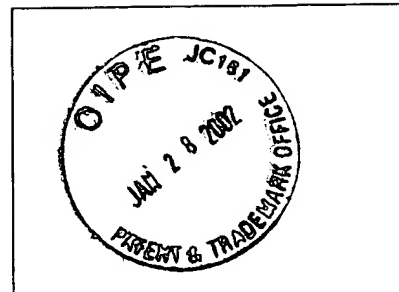


Customer No. 2716.2
Please date stamp and return to addressee on reverse side

Applicant(s): Stephen Horrigan

Serial No.: 09/964,824 Filed: 27 Sept. 2001

Title (or mark): Genetic Determination and
Neurologic Screening



DOCUMENTS ENCLOSED

☐ Application sheets: Descr.: _____
Drawing sheets: _____

☒ Declaration (executed 11-9-01)

☐ Verified Statement Claiming Small Entity Status

☐ Response to OA dated _____

☒ One (1) Mo. Ext. of Time

☐ Other _____

☐ Formal Drawing _____ sheets

Claims _____ Abstract _____
☐ Formal ☐ Informal

☐ Assignment to _____

☐ Type of OA: _____
☐ Inform. Discl. Statement

☒ C: of Notice to File Missing
Parts
☒ Check Amount \$ 120.00 No. 6525

Express Mail Label No.: EFO10576089US

Deposited on: January 28, 2002

Atty.: Alan Grant

First class mail

Certificate Dated: _____

Docket No.: 689290-73

DATE RECEIVED:	<u>2/8/02</u>
DATES ENTERED:	
1)	
2)	
3)	
4)	
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6)	
DOCKETED BY:	<u>pm</u>
DATE:	<u>2/8/02</u>

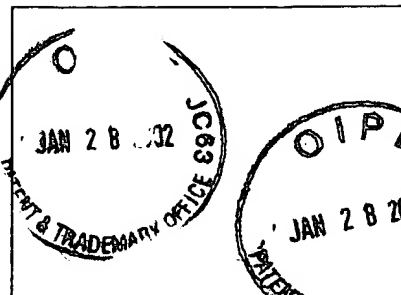


Customer No. 27162
Please date stamp and return to addressee on reverse side

Applicant(s): Stephen Harrigan

Serial No.: 09/964,824 Filed: 27 Sept. 2001

Title (or mark): Cancer Gene Determination and Therapeutic Screening Using Signature Gene Sets



DOCUMENTS ENCLOSED

☐ Application sheets: Descr.: _____

Drawing sheets: _____

☐ Declaration (executed _____)

☐ Verified Statement Claiming Small Entity Status

☒ Preliminary Amendment
Response to OA dated _____

☐ _____ Mo. Ext. of Time

☒ Other C: Notice to File Missing

☐ Formal Drawing Parts sheets

Claims _____ Abstract _____

☐ Formal

☐ Informal

☒ Stmnt. under 37CFR 1.821(f) and (g)
Assignment to _____

☐ Type of OA: _____

☐ Inform. Discl. Statement

☒ C: paper sequence listing on
35" readable format diskette

☐ Check Amount \$ _____ No. _____

Express Mail Label No.: EF010576075US

Deposited on: Jan. 28, 2002

Atty.: Alan Grant

First class mail

Certificate Dated: 684290-73

Docket No.: _____

DATE RECEIVED:	<u>2/15/02</u>
DATES ENTERED:	
1)	
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POCKETED BY:	<u>bm</u>
DATE:	